

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Martin R. Elliott, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Enghardt  
Title: OVERHEAD TRANSFER FLANGE AND SUPPORT FOR SUSPENDING A SUBSTRATE CARRIER  
Serial No.: 10/764,820  
Filed: January 26, 2004

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with four cited references.

☒ Transmittal and Fax Cover Sheet.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$88.00	\$0.00
Basic Filing Fee				\$790.00	\$0.00
TOTAL FEES					PAID

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

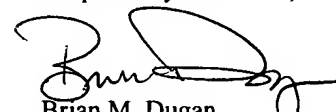
☒ Please address all future correspondence to: **Customer # 41161**  
**Dugan & Dugan, PC**  
**55 South Broadway**  
**Tarrytown, NY 10591**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: July 14, 2005

Signature: 

Respectfully submitted,

  
Brian M. Dugan  
Registration No. 41,720  
(914) 332-9081



PATENTS  
8092/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Martin R. Elliott, Robert  
B. Lowrance, Jeffrey C. Hudgens and Eric A.  
Englhardt

Serial No. : 10/764,820

Filed : January 26, 2004

For : OVERHEAD TRANSFER FLANGE AND SUPPORT FOR  
SUSPENDING A SUBSTRATE CARRIER

Customer No. : 41161

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 5,110,249, Arthur E. Norman

U.S. Patent No. 6,036,426, Gary Hillman

U.S. Patent No. 6,183,186, Peltola et al.

Foreign Art Reference No. EP 0 277 536 (EPO)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

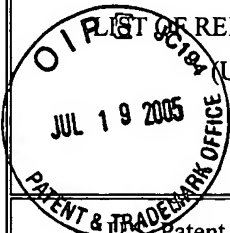
Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a long horizontal flourish extending to the right.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated: July 14, 2005  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office  <div style="display: flex; align-items: center;">  <div>           OFFICE OF RELEVANT ART CITED BY APPLICANT            (Use several sheets if necessary)         </div> </div>				Docket No.: 8092/SYNX/JW		Serial No.: 10/764,820	
				Applicants: Michael R. Rice, et al			
				Filing Date: January 26, 2004		Group: _____	

U.S. Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	5,110,249	05/05/92	Arthur E. Norman			
	US-2	6,036,426	03/14/00	Gary Hillman			
	US-3	6,183,186	02/06/01	Peltola et al.			
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

Foreign Patent Documents							Translation	
	Document Number	Date	Country	Class	Subclass	Yes	No	
	F-1	EP 0 277 536	08/10/88	EPO		X (Abstract)		
	F-2							
	F-3							
	F-4							
	F-5							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	OT-1
	OT-2
	OT-3

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.